

## Cliques and Claws in Edge-transitive Strongly Regular Graphs

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### 1. Strongly Regular Graphs

A *strongly regular graph* is a graph  $\Gamma$  (finite, undirected, without loops and multiple edges) with  $v$  vertices (points) such that every vertex is adjacent with exactly  $k$  vertices, and the number of vertices adjacent to two distinct vertices  $x, y$  is  $\lambda$  or  $\mu$ , depending on whether  $x$  and  $y$  are adjacent or not. We assume here that  $\Gamma$  is connected, and that  $\Gamma$  is neither complete nor a conference graph (i.e. the parameters are not  $v=4\mu+1, k=2\mu, \lambda=\mu-1, \mu$ ). Then the parameters of  $\Gamma$  can be expressed in terms of three integral parameters  $m, n$ , and  $\mu$  as follows (here  $k, n-m$ , and  $-m$  are the eigenvalues of the  $(0,1)$ -adjacency matrix of  $\Gamma$ ):

**1.1. Lemma** (Neumaier [6]).

$$\begin{aligned} v &= \mu^{-1}(\mu + (m-1)(n-m))(\mu + m(n+1-m)), \\ k &= \mu + m(n-m), \quad \lambda = \mu + n - 2m, \mu, \\ 2 &\leq m \leq n, \quad 1 \leq \mu. \end{aligned}$$

A *clique* in  $\Gamma$  is a complete subgraph. A *grand clique* is a maximal clique  $C$  of size  $|C| > \frac{1}{2}n + \mu + 1 - m$  (this differs from the definition in Bose [1]; but assuming his inequalities, all his grand cliques are grand cliques in the present sense). A clique  $C$  is called *regular* if every point not in  $C$  is adjacent with the same number  $e > 0$  of points of  $C$ .

**1.2. Lemma** (Neumaier [7]). i) *A clique  $C$  is regular if and only if it contains exactly  $|C| = 1 + m^{-1}k$  points; in this case,  $e = m^{-1}\mu$ .*

ii) *A non-regular clique contains less than  $1 + m^{-1}k$  points.*

A graph  $\Gamma$  is called *vertex-transitive*, resp. *edge-transitive* if any two vertices, resp. (unordered) edges can be mapped onto each other by an automorphism of  $\Gamma$ . Note that all rank 3 groups of even order give rise to edge-transitive strongly regular graphs (cf. Hubaut [5]).

**1.3. Lemma.** *A connected edge-transitive strongly regular graph  $\Gamma$  is also vertex-transitive.*

*Proof.* By a result of Folkman [4],  $\Gamma$  is either vertex-transitive, or bipartite. But the only bipartite strongly regular graphs are the symmetric complete bipartite graphs which are vertex-transitive.

**1.4. Lemma.** (Neumaier [7]). *A vertex- and edge-transitive graph containing a regular clique is strongly regular.*

Since a connected bipartite graph contains a regular clique only if it is complete bipartite, the only edge-transitive graphs containing a regular clique which are not strongly regular are the nonsymmetric complete bipartite graphs, by Folkman [4], and 1.4.

## 2. Special $1\frac{1}{2}$ -designs and Partial Geometries

A *special  $1\frac{1}{2}$ -design* consists of a set of  $v$  points and a collection of  $b$  blocks (sets with  $K$  points each) such that every point is in  $R$  blocks, two distinct points are in 0 or  $\lambda$  blocks ( $0 < \lambda < R$ ), and every point not in a given block  $B$  is adjacent to exactly  $e$  points of  $B$ . Here two points are called *adjacent* if they are distinct and contained in a common block. This defines a graph, the *point graph* of the design. A *partial geometry* is a special  $1\frac{1}{2}$ -design with  $\lambda=1$ . A graph is called *geometric* if it is the point graph of a partial geometry.

**2.1. Lemma** (Neumaier [7]). *The point graph  $\Gamma$  of a special  $1\frac{1}{2}$ -design (a partial geometry (Bose [1])) is strongly regular, and each block of the design is a regular clique of  $\Gamma$ .*

**2.2. Lemma** (Bridges and Shrikhande [2]). *A two-class partially balanced design (Bose [1]) with  $\lambda_1=0$  which has more points than blocks is a special  $1\frac{1}{2}$ -design.*

**2.3. Theorem** (Bose [1]). *A strongly regular graph with  $m|\mu \leq m^2$  and*

$$n > \frac{1}{2}m(m-1)(\mu+1) + m - 1 \quad (1)$$

*is geometric.*

It is possible to remove the condition  $m|\mu \leq m^2$  from Theorem 2.3, and to specify the possible graphs. A *Latin square graph* is the point graph of a partial geometry with  $e=R-1$ , and a *Steiner graph* is the point graph of a partial geometry with  $e=R$ . (In other words, Latin square graphs and Steiner graphs are the line graphs of transversal designs and 2-designs with  $\lambda=1$ , cf. [6]). Note that a Latin square graph has  $\mu=m(m-1)$ , and a Steiner graph has  $\mu=m^2$ .

**2.4. Theorem** (Neumaier [6]). *A strongly regular graph whose parameters satisfy (1) is a Latin square graph or a Steiner graph.*

## 3. Properties of Cliques

In this, and the following section, let  $\Gamma$  be a strongly regular graph with parameters given by 1.1.

**3.1. Lemma.** *Let  $s \geq 1$  be an integer. Then every edge contains at most  $s$  maximal cliques of size larger than*

$$\gamma_s = 2 + \frac{\lambda}{s+1} + \frac{s}{2}(\mu - 2). \tag{2}$$

*Proof.* Assume that the edge  $ab$  contains  $s + 1$  maximal cliques  $C_0, \dots, C_s$  of size  $> \gamma_s$  each. Define  $D_i = C_i - \{a, b\}$ . All points of  $D_i$  are adjacent to  $a$  and  $b$  whence  $|\cup D_i| \leq \lambda$ . Since the  $C_i$  are maximal, there is (for each pair  $i \neq j$ ) a pair of nonadjacent points in  $C_i \cup C_j$  which all points of  $C_i \cap C_j$  are joined. Hence  $|D_i \cap D_j| = |C_i \cap C_j| - 2 \leq \mu - 2$ .

Now

$$\sum_i |D_i| \leq |\cup D_i| + \sum_{i < j} |D_i \cap D_j|, \tag{3}$$

since every point which is in  $p$  sets  $D_i$  is counted  $p$  times in the left, and  $1 + p(p - 1)/2 \geq p$  times in the right formula. But the left hand side of (3) is  $> (s + 1)(\gamma_s - 2)$  by assumption, and the right hand side is  $\leq \lambda + \frac{1}{2}s(s + 1)(\mu - 2) = (s + 1)(\gamma_s - 2)$  by the above counting arguments. This is a contradiction.

**3.2. Corollary.** *Every edge is in at most one grand clique.*

*Proof.* Apply Lemma 3.1 with  $s = 1$  and use  $\lambda = \mu + n - 2m$  (from Lemma 1.1).

Directly (similar to 3.1), or from Neumaier [7], we get:

**3.3. Lemma.** *If the size  $K$  of a regular clique satisfies  $K > \mu + 1 - m$  then every edge is in at most one regular clique.*

**3.4. Theorem.** *Suppose that  $\Gamma$  is edge-transitive and contains a clique of size  $\geq K$ . If there is an integer  $s \geq 1$  such that*

$$K > \gamma_s, \quad K(K - 1) > ks \tag{4}$$

*then  $\Gamma$  is geometric.*

*Proof.* Since (4) remains valid when  $K$  is replaced by a greater integer, we may assume the existence of a maximal clique  $C$  of size  $K$ . Consider the orbit  $\mathcal{B}$  of  $C$  under the automorphism group of  $\Gamma$ . If we call the cliques in  $\mathcal{B}$  blocks, then by vertex-transitivity (Lemma 1.3), every point is in the same number  $R$  of blocks, and, by construction, every block contains  $K$  points. Also two points are in 0 blocks if they are nonadjacent, and, by edge-transitivity, in a constant number  $A$  of blocks if they are adjacent. Hence, since  $\Gamma$  is strongly regular, we have a partially balanced design with two classes and  $\lambda_1 = 0, \lambda_2 = A$ . By 3.1,  $A \leq s$  since  $K > \gamma_s$ . Counting flags (incident point-block pairs) through a given point gives  $R(K - 1) = kA \leq ks < K(K - 1)$  by (4), whence  $R < K$ . Counting all flags gives  $vR = bK$ , where  $b$  is the number of blocks in  $\mathcal{B}$ . Hence  $v > b$ , and by 2.2, we have a special  $1\frac{1}{2}$ -design. In particular, the blocks are regular cliques. Now if  $s \geq 2$  then  $K > \gamma_s \geq 2 + \frac{1}{2}s(\mu - 2) \geq \mu > \mu + 1 - m$ , so 3.3 implies  $A \leq 1$ . If  $s = 1$  then  $A \leq s = 1$ . Hence  $A = 1$  and we have a partial geometry.

**3.5. Theorem.** i) *If  $\Gamma$  contains a grand clique then  $n > 2\mu(m - 1)/m$ .*

ii) *If  $\Gamma$  is geometric, and  $n > 2\mu(m - 1)/m$  then the grand cliques are just the blocks of the corresponding partial geometry.*

iii) If  $\Gamma$  is edge-transitive and contains a grand clique then either  $\Gamma$  is geometric, or  $\mu < m$ .

*Proof.* i) If  $\Gamma$  contains a grand clique  $C$  then  $\frac{1}{2}n + \mu + 1 - m < |C| \leq m^{-1}k + 1 = n + 1 - m + m^{-1}\mu$  (by 1.2 and 1.1) which implies  $n > 2\mu(m-1)/m$ .

ii) The block size is  $m^{-1}k + 1 > \frac{1}{2}n + \mu + 1 - m$  (see (i)) whence the blocks are grand cliques. There are no other grand cliques since an edge is in some block but in at most one grand clique.

iii) Assume  $\mu \geq m$ . Then by i),  $n \geq 2m - 2$ . Now a grand clique has size  $K > \frac{1}{2}n + \mu + 1 - m = \gamma_1$ , and by 1.1,  $K(K-1) - k = (K-1)^2 + (K-1) - k > \frac{1}{4}n^2 + (\frac{1}{2}n + \mu + 1 - m) - (\mu + m(n-m)) > \frac{1}{4}(n+1-2m)^2 \geq 0$ . Hence Theorem 3.4 applies.

#### 4. Cliques Constructed from Claws

A  $d$ -claw is a pair  $(a, S)$  consisting of a point  $a$ , and a set  $S$  of  $d$  points adjacent to  $a$  which are mutually nonadjacent. The next two lemmas are straightforward extensions of results by Bose [1] and Bumiller [3].

**4.1. Lemma.** *Let  $d$  be the maximal integer such that there is a  $d$ -claw. Then  $\Gamma$  contains a clique of size  $\geq 2 + \lambda - (d-1)(\mu-1)$ .*

*Proof.* Let  $(a, S)$  be a  $d$ -claw with maximal  $d$ . Choose  $b \in S$ . For every  $x \in T = S - \{b\}$  there are  $\leq \mu - 1$  points adjacent to  $a, b$ , and  $x$ , whence there are at least  $\lambda - (d-1)(\mu-1)$  points adjacent to  $a, b$  but not to any element of  $T$ . Call this set of vertices  $C_0$ . If  $p, q \in C_0$  then  $p$  and  $q$  are adjacent since otherwise  $(a, T \cup \{p, q\})$  would be a  $(d+1)$ -claw. Therefore,  $C = C_0 \cup \{a, b\}$  is a clique with the required size.

**4.2. Lemma.** *Let  $s \leq m$  be an integer with*

$$n > (2m-3)\mu + m + \frac{(s-2)((s-3)(\mu-1) + 2m-2)}{2(m+1-s)}. \quad (5)$$

*Then  $d \leq 2m - s$  for every  $d$ -claw.*

*Proof.* Suppose  $(a, S)$  is a  $d$ -claw. Denote by  $T$  the set of all  $x \notin S$  which are adjacent with  $a$ . For  $x \in T$ , define  $a_x$  as the number of points of  $S$  adjacent to  $x$ . Then an easy counting argument shows that

$$\begin{aligned} \Sigma 1 &= k - d, \\ \Sigma a_x &= d\lambda, \\ \Sigma a_x(a_x - 1) &\leq d(d-1)(\mu-1), \end{aligned}$$

where the sum extends over all  $x \in T$ . Hence  $0 \leq \Sigma(a_x - 1)(a_x - 2) \leq d(d-1)(\mu-1) - 2d\lambda + 2(k-d)$ . If we insert  $d = 2m + 1 - s$ , use Lemma 1.1 to simplify, and solve for  $n$ , we obtain the negation of (5). Hence (5) implies that there is no  $(2m+1-s)$ -claw. This proves the lemma.

**4.3. Corollary.** *If  $n > (2m - 3)\mu + m$  then  $d \leq 2m - 2$  for every  $d$ -claw.*

Essentially by combining Theorem 3.4 (for  $s = 1$ ) with 4.1 and 4.2 (with  $s = \lceil \frac{2m+5}{3} \rceil$ ), Bumiller [3] obtains the following extension of Theorem 2.3:

**4.4. Theorem.** *If  $\Gamma$  is a rank 3 graph with parameters 1.1 satisfying*

$$n \geq (4m - 5)(2\mu + 1)/3 + 3/(m - 2) + m + 3 \tag{6}$$

*then  $\Gamma$  is geometric.*

We prove a similar result which extends Theorem 2.4.

**4.5. Theorem.** *Suppose  $\Gamma$  is an edge-transitive strongly regular graph with parameters 1.1. Let  $s$  be the smallest integer with  $4m \leq (s + 1)^2$ . If*

$$\mu \geq 2 + \frac{1}{4}(2m - 1)s, \tag{7}$$

$$n \geq (2m - 1)\mu, \tag{8}$$

*then  $\Gamma$  is a Latin square graph or a Steiner graph.*

*Proof.* By definition of  $s$ ,  $s^2 < 4m$  whence

$$s^2 + 3 \leq 4m \leq (s + 1)^2 \tag{9}$$

since  $s^2 \equiv 0$  or  $1 \pmod{4}$ . By Lemma 4.2,  $d \leq 2m - s$  for every  $d$ -claw. For otherwise the right hand side of (5) would be  $\geq n \geq (2m - 1)\mu$ . Hence we would have  $\frac{(s - 2)((s - 3)(\mu - 1) + 2m - 2)}{2(m + 1 - s)} \geq 2(\mu - 1) - (m - 2)$ , whence  $(s - 2)(s - 3)(\mu - 1) + (s - 2)(2m - 2) \geq 4(m + 1 - s)(\mu - 1) - 2(m + 1 - s)(m - 2)$  and  $2m^2 - 6m + 2s \geq (4m - s^2 + s - 2)(\mu - 1) \geq (4m - s^2 + s - 2)(1 + \frac{1}{4}(2m - 1)s)$  by (9) and (7). Multiplying this with 8 and writing  $4m = x + s^2$  so that  $x \geq 3$  we obtain  $x^2 + (2s^2 - 12)x + s^4 - 12s^2 + 16s \geq (x + s - 2)(sx + s^3 - 2s + 8)$ , or  $(s - 1)x^2 + (s^3 - s^2 - 4s + 20)x - 2s^3 + 10s^2 - 4s - 16 \leq 0$ . This is monotone in  $x$ , and positive for  $x = 2$ , a contradiction.

Now Lemma 4.1 implies the existence of a clique of size  $\geq 2 + \lambda - (d_{\max} - 1)(\mu - 1) \geq 2 + \lambda - (2m - s - 1)(\mu - 1) = K$ . By Theorem 3.4,  $\Gamma$  is geometric once we know that  $K > \gamma_s$  and  $K(K - 1) > ks$ . To show this we remark first that (8) implies

$$\lambda = \mu + n - 2m \geq 2m(\mu - 1), \tag{10}$$

$$K = 2 + \lambda - (2m - s - 1)(\mu - 1) \geq 2 + (s + 1)(\mu - 1). \tag{11}$$

Assume that  $K \leq \gamma_s$ , i.e.  $2 + \lambda - (2m - s - 1)(\mu - 1) \leq 2 + \frac{\lambda}{s + 1} + \frac{s}{2}(\mu - 2)$ . Subtract 2, multiply by  $2(s + 1)$ , sort for  $\lambda$ , and use (10) to get  $4ms(\mu - 1) \leq 2s\lambda \leq 2(s + 1)(2m - s - 1)(\mu - 1) + s(s + 1)(\mu - 2)$ . This implies  $s(s + 1) + (\mu - 1)(s^2 + 3s + 2$

$-4m \leq 0$ , contradicting (9). Next assume that  $K(K-1) \leq ks$ . Then by (11), (7) and 1.1,  $((s+1)(\mu-1)+2)((s+1)(\mu-1)+1-ms) \leq K(K-1-ms) \leq (k-mK)s = ((2m^2-2m+1-sm)(\mu-1)+m^2+3m+1)s$ . Hence by (7) and (9),  $4m+sm(2m-1) = (1+\frac{1}{4}(2m-1)s) \cdot 4m \leq (\mu-1)(4m(\mu-1)-ms(2m-1)) \leq (\mu-1)((s+1)^2(\mu-1)-ms(2m-1)) \leq m^2s-ms+s-2-(2s+3)(\mu-1) \leq m^2s-ms$ , which is impossible.

Hence  $\Gamma$  is geometric. Since  $n > 2(m-1)(\mu+1-m)$ , and  $n > m(m-1)$  if  $\mu = m$ , the proof of Theorem 4.7 of Neumaier [6] applies and shows that the corresponding partial geometry has  $e = R-1$  or  $e = R$ , whence  $\Gamma$  is a Latin square graph or a Steiner graph.

**4.6. Corollary.** *If  $\Gamma$  is edge-transitive and  $n \geq (2m-1)\mu$  then*

$$\mu = m^2, \quad \mu = m(m-1) \quad \text{or} \quad \mu < 2 + \frac{1}{4}(2m-1)s.$$

*Remark.* (8) is always better than (6), and better than (1) if and only if  $m \geq 5$ . (6) is better than (1) if  $m \geq 6$ .

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